

Rethinking Compliance Risk Management - COBRA Creating Value for Clients By Leveraging Technology

The CHALLENGE

- Do your employees really understand company policies?
- Do your employees take the company's policies seriously?
- Are company policies, processes and procedures followed out at remote work sites?
- Is your company's compliance training effective?
- Does the company really have a "Culture of Compliance?"
- Are there managers or employees within the organization undermining company policies, processes or documented procedures?
- Where might the company have hidden compliance issues and where should the company's internal compliance resources be focused?

Our SOLUTION

- By leveraging technology, organizations can evaluate their corporate compliance behavior in a uniquely efficient and cost-effective manner.
- Our COBRA tool is designed to identify emergent risks, allowing companies to intelligently focus the efforts of scarce and expensive internal compliance resources.

The MSS Global Compliance through Behavioral Risk Assessment (COBRA) approach provides senior management of large, dispersed and complex U.S. Government contracting companies with dynamic insight in to the actual compliance behavior of their organization.

Our COBRA assessment tool answers questions that your company's senior management really want answers to

Concept - COMPLIANCE through ONLINE BEHAVIORAL RISK ASSESSMENT (COBRA)

In partnership with The High Performance Organization, MSS Global has designed the **COBRA tool** to support the **U.S. Government contracting** community. The COBRA tool extends beyond traditional auditing techniques. Drawing from the Critical Incident Technique (CIT), the assessment is designed around the framework of an anonymized online behavioral interview. Assessment modules can be customized for each client around single or combined legal/regulatory commitments and compliance risk; including but not limited to.....

- **CTIPS**
- **Anti- corruption** (FCA & FCPA)
- **Compliance Culture**
- **Labor Issues** (anti-harassment, hostile work environment).

The DESIGN

Our online assessment can stand-alone or be used in conjunction with traditional audit techniques. It is effective at identifying emergent risk areas that can instruct our clients where to focus effort.

Assessments are constructed to evaluate company performance against desired business objectives and behavioral outcomes—classifying personnel into “Involvement Groups” based on functional roles and employment classification. Tailored statement and response sets are generated based on Involvement Groups.

The DEPLOYMENT

The assessment is administered online in less than 15 minutes. The tool is a fully online system that is accessible wherever Internet connection and browsing is available—no special software required.

The tool is a scalable platform—it can be administered to just a few participants or many thousands.

The tool is deployed with total anonymity to encourage honest participation and remove data security risk.

DYNAMIC INSIGHT

Reports arm clients' senior management with objective data that can be further analyzed and used for benchmarking or early interdiction—providing the insight and agility to gain perspective on what factors can be modified or emulated to promote desired outcomes.

MSS Global works with its clients to ensure statistical sampling is adequate to deliver results within a desired margin of error and confidence level.

COBRA - A Competitive Advantage

Actionable behavior-based data underpins any effective compliance risk management program. Organizations who embrace technology and analytics to expand their understanding of their actual behavior are not only better positioned to safeguard against compliance risk, but are also better poised to drive business performance and exert agile competitive advantage in the marketplace.

With the costs of non-compliance high, a robust compliance program will continue to prove invaluable.

“Ask questions about observable behavior rather than thoughts or motives”

Harvard Business Review, February 2002 (Getting the Truth into Workplace Surveys)

ABOUT MSS GLOBAL

MSS Global, an internationally recognized certification body, has a repertoire of services that allows us to provide assurance of clients' operations and supply chains against international standards, or customer-defined regulatory, contractual and ethical commitments.

FURTHER INFORMATION

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